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PTO/SB/21 (05-03)

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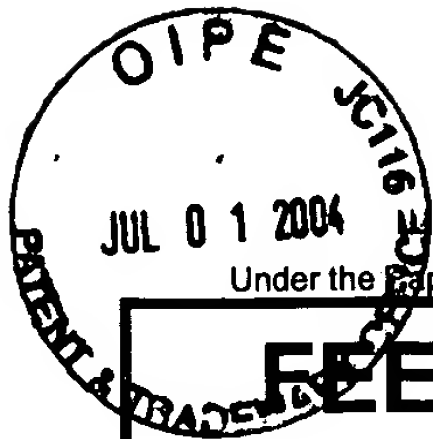
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/884,875
	Filing Date	June 18, 2001
	First Named Inventor	CHEN, LIN-FENG
	Group Art Unit	1636
	Examiner Name	LEFFERS JR., GERALD G.
Total Number of Pages in This Submission	Attorney Docket Number	UCAL-234
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Return Postcard
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Signing Attorney/Agent (Reg. No.)	CAROL L. FRANCIS (REG. NO. 36,513) BOZICEVIC, FIELD & FRANCIS LLP	
Signature		
Date	July 1, 2004	

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$126.00)

Complete if Known

Application Number	09/884,875
Filing Date	June 18, 2001
First Named Inventor	CHEN, LIN-FENG
Examiner Name	LEFFERS JR., GERALD G.
Art Unit	1636
Attorney Docket No.	UCAL-234

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	50-0815
Deposit Account Name	Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
1001	2001	770	385	Utility filing fee
1002	2002	340	170	Design filing fee
1003	2003	530	265	Plant filing fee
1004	2004	770	385	Reissue filing fee
1005	2005	160	80	Provisional filing fee

SUBTOTAL (1)

0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
34	-20** = 14	x 9	= 126.00
Indep. Claims	5	-7** =	x =
Multiple Dependent			=

Large Entity Fee Code

Small Entity Fee Code

Fee (\$)

Fee (\$)

Fee Description

1202 18 2202 9

1201 86 2201 43

1203 290 2203 145

1204 86 2204 43

1205 18 2205 9

SUBTOTAL (2) \$126.00

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FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code

Small Entity Fee Code

Fee (\$)

Fee (\$)

Fee Description

1051 130 2051 65

1052 50 2052 25

1053 130 2053 130

1812 2,520 2052 2,520

1804 920* 2052 920*

1805 1,840* 2052 1,840*

1251 110 2251 55

1252 420 2252 210

1253 950 2253 475

1254 1,480 2254 740

1255 2,010 2255 1,005

1401 330 2401 165

1402 330 2402 165

1403 290 2403 145

1451 1,510 2451 1,510

1452 110 2452 55

1453 1,330 2453 665

1501 1,330 2501 665

1502 480 2502 240

1503 640 2503 320

1406 130 2460 130

1807 50 2807 50

1806 180 2806 180

8021 40 8021 40

1809 770 2809 385

1810 770 2810 385

1801 770 2801 385

1802 900 2802 900

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Carol L. Francis	Registration No. (Attorney/Agent)	36,513	Telephone	(650) 833-7731
Signature		Date	07/01/2004		

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7-6-04

1636\$

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**AMENDMENT UNDER
37 C.F.R. §1.111**

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Attorney Docket No.	UCAL-234
Confirmation No.	1891
First Named Inventor	CHEN, LIN-FENG
Application Number	09/884,875
Filing Date	June 18, 2001
Group Art Unit	1636
Examiner Name	LEFFERS JR., GERALD G.
Title:	"METHODS FOR IDENTIFYING MODULATORS OF NF-KB ACTIVITY"

Sir:

This amendment is responsive to the Office Action dated April 6, 2004 for which a three-month period for response was given making this response due on or before July 6, 2004. Accordingly, this response is timely filed.

In view of the amendments to the claims and the remarks put forth below, reconsideration and allowance are respectfully requested.

07/09/2004 EFLORES 00000170 500815 09884875

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